

# MAKING KNOCK FOR GOOD FOUNDATION SOCIETY

## Annexure - I

### BENEFICIAL OWNER DECLARATION FOR Company/Partnership/LLP/AOP/BOI/Trust/Societies (To be obtained on the letterhead of the client)

Please fill the Form in BLOCK LETTERS ONLY. All fields marked "\*" are MANDATORY.  
Please ensure that all mandatory fields have been filled correctly else the form is liable to be rejected.

\*Date of declaration: \_\_/\_\_/\_\_\_\_ (DD/MM/YYYY)

Entity type  Company   P Partnership  Trust

AoP (Association of Persons \$)  BoI (Body of Individuals#)

Whether Company is listed  No  Yes.

If yes, Name of Stock Exchange: \_\_\_\_\_

Entity Name: \_\_\_\_\_

**Section I:** We declare that no individual person holds directly \*\*Controlling ownership in the captioned Company/Firm/LLP/AoP/BoI/Trust above the prescribed threshold limit. Below are the details of Natural Person who holds the position of Senior Managing Official.

1	<b>Name of Senior Managing position</b>		Please paste photograph of Individual Beneficial Owner here
	<b>Date of Birth / Date of Incorporation *</b>		
	<b>Designation</b>		
	<b>PAN/CIN Number*</b>		
	<b>Address</b>		
2	<b>Name of Senior Managing position</b>		Please paste photograph of Individual Beneficial Owner here
	<b>Date of Birth / Date of Incorporation *</b>		
	<b>Designation</b>		
	<b>PAN/CIN Number*</b>		
	<b>Address</b>		

**Section II:** I/We the undersigned hereby declare the below details of beneficial owners holding  
 \*\*Controlling ownership in the captioned Company/LLP/Firm/AoP/BoI above the prescribed threshold limit

1	<b>Name of trustees, settlers, grantors &amp; Beneficiaries / Name of Shareholders / Partner / Beneficial holders</b>		Please paste photograph of Individual Beneficial Owner here
	<b>Date of Birth /Date of Incorporation*</b>		
	<b>Mention if trustee, settler, grantor, protector or beneficiary or Designation(In case of Companies)</b>		
	<b>PAN/CIN Number*</b>		
	<b>Address</b>		
	<b>% of Ownership/Capital/Profits</b>		
2	<b>Name of trustees, settlers, grantors &amp; Beneficiaries / Name of Shareholders / Partner / Beneficial holders</b>		Please paste photograph of Individual Beneficial Owner here
	<b>Date of Birth /Date of Incorporation*</b>		
	<b>Mention if trustee, settler, grantor, protector or beneficiary or Designation(In case of Companies)</b>		
	<b>PAN/CIN Number*</b>		
	<b>Address</b>		
	<b>% of Ownership/Capital/Profits</b>		

*(For Trusts: Pls provide details of trustees, Settlers, Grantors, Protectors, beneficial owners holding 15% and above beneficial interest in the captioned Trust)*

**Section III:** Applicable only if there is/are non-individual Beneficial owner(s) holding Controlling\*\* ownership as declared in Sec II.

The following individual person(s), directly or indirectly, holds \*\*Controlling ownership in the above captioned Company/Firm/LLP/AoP/BoI/Trust

1	<b>Name of trustees, settlers, grantors &amp; Beneficiaries / Name of Shareholders / Partner / Beneficial holders</b>		Please paste photograph of Individual Beneficial Owner here
	<b>Date of Birth /Date of Incorporation*</b>		
	<b>Mention if trustee, settler, grantor, protector or beneficiary or Designation(In case of Companies)</b>		
	<b>PAN/CIN Number*</b>		
	<b>Address</b>		
	<b>% of Ownership/Capital /Profits</b>		
2	<b>Name of trustees, settlers, grantors &amp; Beneficiaries / Name of Shareholders / Partner / Beneficial holders</b>		Please paste photograph of Individual Beneficial Owner here
	<b>Date of Birth /Date of Incorporation*</b>		
	<b>Mention if trustee, settler, grantor, protector or beneficiary or Designation(In case of Companies)</b>		
	<b>PAN/CIN Number*</b>		
	<b>Address</b>		
	<b>% of Ownership/Capital /Profits</b>		

Name of Director/Partner/Member/Trustee

\_\_\_\_\_  
(Signatures & seal of the any of the Directors/Partners/Trustees/Members)

**\*Important Points to Note:**

- Pan Number to be provided for Resident. In absence of it, valid ID document to be submitted. If minor, then age proof to be provided. In case of Foreign National/NRI, copy of Passport to be additionally provided.
- Copy of Officially Valid Document i.e. ID & Address proof of beneficial owner / Senior Managing Official to be submitted as applicable to Individuals.
- CIN No. to be mentioned for Companies registered under ROC. For other Non-individual entities, registration no to be mentioned.

- Address mentioned should be of Residence for Individuals and Registered Office for Non-Individuals entity.
- Date of Incorporation should be the date when entity was registered/formed.

**In case of listed Companies, no further details of beneficial owners to be obtained**

**\*\*Definition of Controlling Ownership**

*Definition of Beneficial owners: The term "beneficial owner" has been defined as the **natural person** who ultimately owns or controls a client and/or the person on whose behalf the transaction is being conducted, and includes a person who exercises ultimate effective control over a juridical person.*

*Percentage allocation as per RBI guidelines for identification of Beneficial owners*

- **Company: 25% and above of Equity shares.**
- **Partnership firm/LLP/BOI/AOP: 15% and above of the property or capital or profits**
- **Trust: 15% and above of the property or capital or profits**

*Where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official*

\$ Association of Persons : AOP includes Unregistered Trusts / Partnership firms

# Body of Individuals : BOI includes Societies